

# Detecting an evolutionary signal between pairs of circular genomes

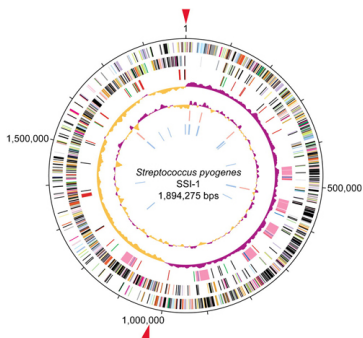
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with David Bryant, Andrew Francis and Peter Jarvis

Discipline of Mathematics  
University of Tasmania

MAM10  
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# The motivation

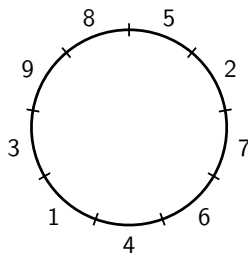
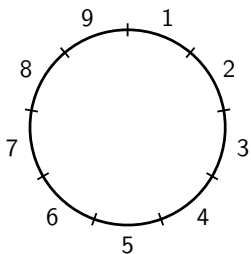
Bacterial genomes are circular and evolve via a combination of processes.



To model bacterial evolution, we focus on differences in genomic **structure**, rather than **content**.

## The theory

Given two circular genomes that share  $N$  regions of interest ...

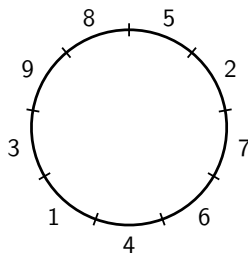
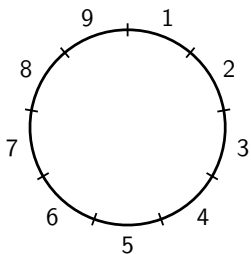


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- use a **rearrangement model** to find possible 'evolutionary paths' from one genome to the other;
- then apply a **distance method** to estimate the **evolutionary distance** between them.

## Rearrangement models

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- a set of rearrangement probabilities  $\{w(a_i) : a_i \in \mathcal{M}\}$ ;
- a distribution of events in time, *dist*.

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Our evolutionary distance measure is the **maximum likelihood estimate of time elapsed** (MLE).

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Precisely, for a genome represented by  $\sigma \in \mathcal{S}_N$ , it's the time,  $T$ , at which the likelihood function  $L(\sigma|T)$  attains its maximum\*, where

$$\begin{aligned} L(\sigma|T) &:= \text{P}(\text{id} \rightarrow [\sigma] \text{ in time } T) \\ &= \sum_{k=0}^{\infty} \text{P}(\text{id} \rightarrow [\sigma] \text{ via } k \text{ rearrangements}) \text{P}(k \text{ rearrangements in time } T) \end{aligned}$$

## Calculating the MLE – rewriting the likelihood function

Define  $\mathbf{s} := \sum_{a \in \mathcal{M}} w(a)a$  in the group algebra  $\mathbb{C}[\mathcal{S}_N]$  and observe that

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$$P(e \rightarrow [\sigma] \text{ via } k \text{ rearrangements}) = \frac{1}{N!} \chi_{\text{reg}}(\sigma^{-1}\mathbf{d}\mathbf{s}^k),$$

where we have incorporated the symmetries of the genome using

$$\mathbf{d} := \sum_{d \in D_N} d \in \mathbb{C}[\mathcal{S}_N].$$



## Rearrangement model $\rightarrow$ Markov model

Now, setting the distribution of events in time to be Poisson(1), we have

$$\begin{aligned}L(\sigma|T) &= \frac{1}{N!} \sum_{k=0}^{\infty} \chi_{\text{reg}}(\sigma^{-1} \mathbf{d} \mathbf{s}^k) \frac{e^{-T} T^k}{k!} \\ &= \frac{1}{N!} \chi_{\text{reg}}(\sigma^{-1} \mathbf{d} e^{(\mathbf{s}-\text{id})T}) \\ &= \frac{1}{N!} \chi_{\text{reg}}(\sigma^{-1} \mathbf{d} e^{QT}),\end{aligned}$$

where  $Q = \rho_{\text{reg}}(\mathbf{s} - \text{id})$ .

Observe that  $\rho_{\text{reg}}(\mathbf{s})$  is in fact the transition matrix for a discrete Markov chain with state space  $\mathcal{S}_N$ .

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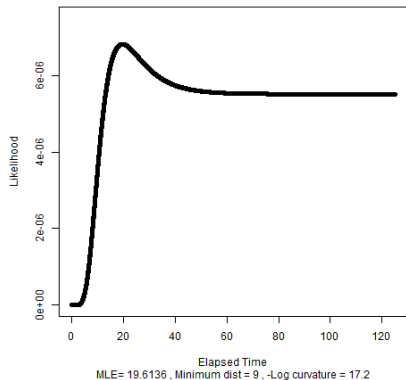
## Computing the likelihood

To compute, we **decompose** into irreducible representations of  $\mathcal{S}_N$  and, assuming time reversibility of the stochastic model (this is equivalent to  $\mathcal{M} = \mathcal{M}^{-1}$  with  $w(a^{-1}) = w(a)$  for all  $a \in \mathcal{M}$ ), we **diagonalise**, obtaining

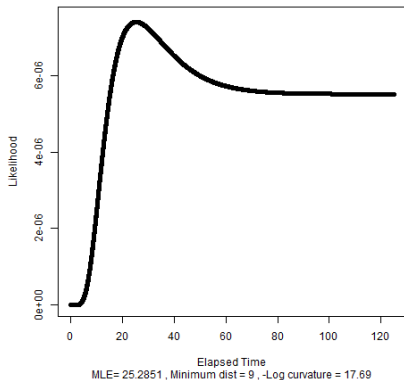
$$L(\sigma|T) = \frac{1}{N!} \sum_{p \vdash N} D_p \sum_{i=1}^{r_p} \text{tr}(\rho_p(\sigma^{-1} \mathbf{d}) E_{p,i}) e^{\lambda_{p,i} T}.$$

# Some likelihood plots - "Model 1"

Likelihood curve genome [1,2,4,10,7,9,5,8,3,6]

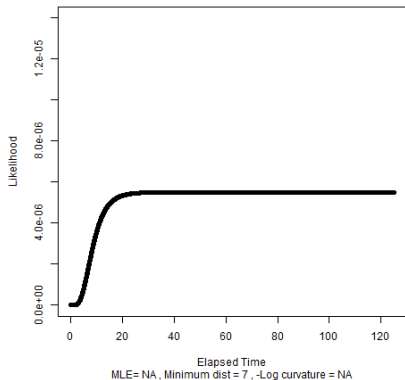


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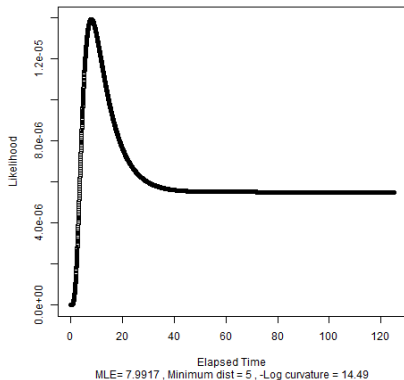


## Some more likelihood curves - "Model 2"

Likelihood curve genome [1,2,4,10,7,9,5,8,3,6]



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Observe that each likelihood function is just a finite (weighted) sum of exponentials,

$$L(T|\sigma) = b_0 e^{\lambda_0 T} + b_1 e^{\lambda_1 T} + b_2 e^{\lambda_2 T} + b_3 e^{\lambda_3 T} + \dots + b_m e^{\lambda_m T},$$

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Taking the derivative, we see that as  $T \rightarrow \infty$ ,

$$L'(T|\sigma) \approx b_1 \lambda_1 e^{\lambda_1 T}.$$

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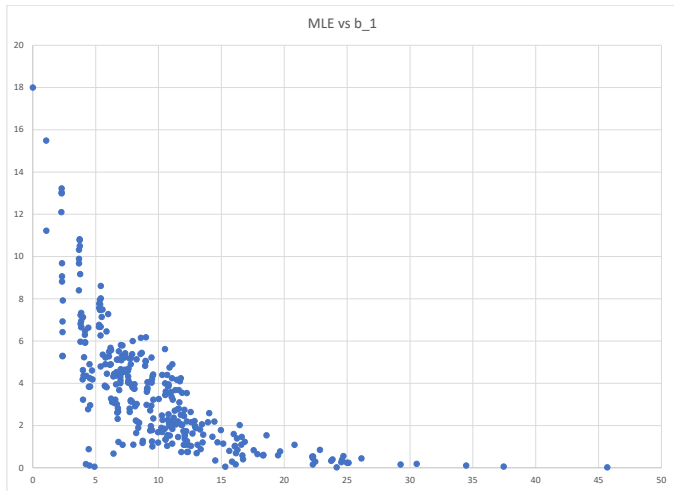
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One can easily create sums of exponentials that have multiple optima.

However, using actual models (for genomes with up to 12 regions), we have only ever been able to create likelihood functions with zero or one maximum.



# 'Model 2'; $\mathcal{S}_9$ : MLE vs $b_1$ for genomes with an MLE



## How much easier is this question?

We know exactly where to look for the “second biggest eigenvalue”,  $\lambda_1$ .

It's in the ‘third’ irreducible representation, that is, it's an eigenvalue of the matrix

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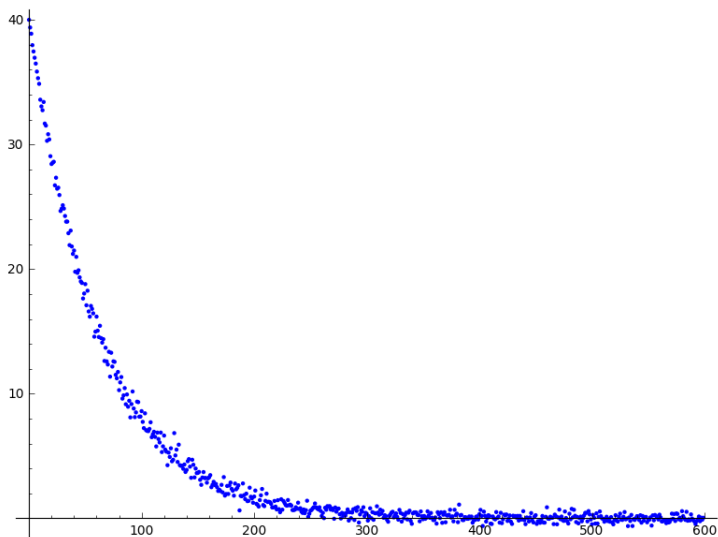
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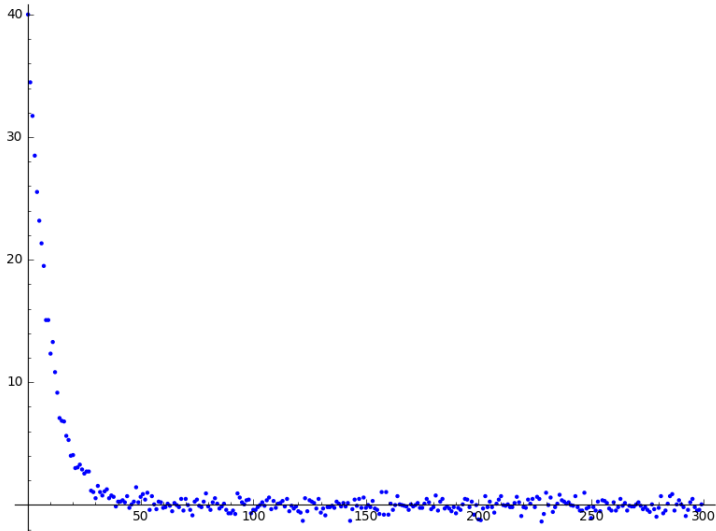
In any case, for  $N$  regions, this matrix has dimension  $\frac{N^2-3N}{2}$ .... which makes computations simple.

simulating: mean  $b_1$  vs  $T$



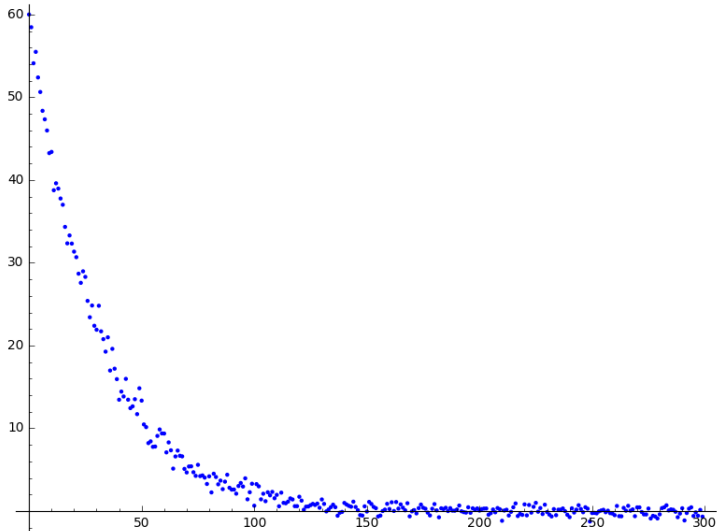
$\mathcal{S}_{20}$ , model  $T_1$ , 100 repetitions, 600 time steps

simulating



$\mathcal{S}_{20}$ , all inversions model, 40 repetitions, 300 time steps

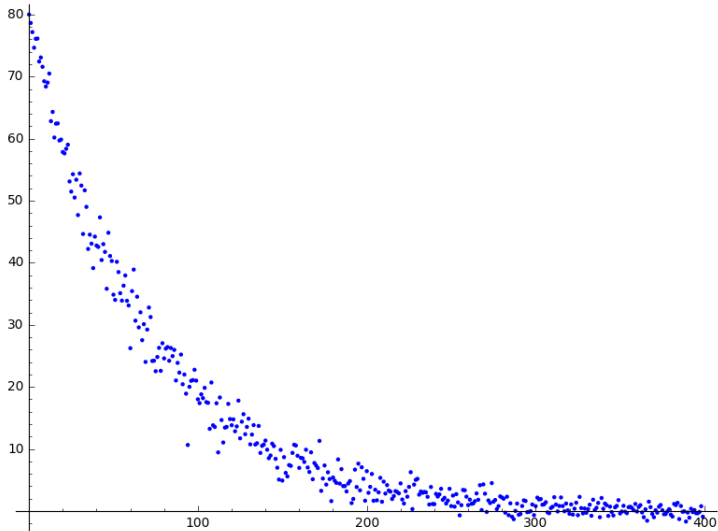
simulating



$\mathcal{S}_{30}$ , inv7 model, 40 repetitions, 300 time steps



simulating



$S_{40}$ , inv7 model, 10 repetitions, 400 time steps

## What next?

As far as this predictor goes, we have a couple of gaps to fill in (eg prove that  $b_1 < 0 \implies$  no MLE under our model/symmetry assumptions).

More generally, a priority is to increase the number of regions for which we can calculate MLEs. In particular/in parallel...

- Most eigenvalues that we calculate do not contribute to the final likelihood function (as their coefficient  $b_i$  is zero). We now understand why this is and are working on a way to apply this (which will massively reduce our computational load!).
- We may still have to start to use some real numerical approximations (as opposed to the ones the computer does in order to actually calculate anything).
- Investigate further applying the technique to compare models – eg what is the ‘most likely model’ for some given data?
- Apply/adapt this technique to slightly different genome models. eg include an origin and terminus of replication, include gene orientation ... etc

## References

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# Thanks

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Australian Government  
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