Models for the evolution of microsatellites

Tristan L. Stark

Temple University

tuk60782@temple.edu

February 14, 2019

Tristan L. Stark (Temple)

Modeling Microsatellites

February 14, 2019 1 / 22

DNA Basics

- DNA is a molecule found in all life.
- Typically appears as a double helix structure.
- Made up of nucleotides



• We consider 4 distinct nucleotides:

- A Adenine.
- 2 T Thymine.
- O Cytosine.
- G Guanine.
- The genetic code is written in the language defined by these nucleobases.
- A piece of code may be regarded as a string of nucleobases
- e.g. ATCCATATG

- Nucleobases on one strand chemically bond with those on the other
- Each bonds with precisely one other:

A and T bond.

- O and G bond.
- Base pairing leads to a natural complementary relationship between strings of code.
- E.g. ATCCATATG has TAGGTATAC as its complement

- Two strands of double helix separate
- Each strand's complimentary sequence is generated and bonds to it.
- There exists a possibility for errors to occur.
- Most errors are corrected, some lead to a change in the code.
- We refer to uncorrected errors as mutations.

Selection

- Mutations may give rise to new alleles.
- Often, this will make an organism more or less fit.
- Many microsatellites are *not* subject to selection, which allows for demographic information to be faithfully preserved.

• Repeats of a short motif, e.g. AT repeated 6 times:

• Usually, think of microsatellites as repeat units:

Contraction

During replication, a loop may form in the template strand leading to a decrease in the number of repeats in the new strand.



Expansion

Alternatively, a loop may form in the new strand, leading to an increase in repeat number relative to the template.



Models for repeat number

• e.g. a symmetric random walk:



• The main factors accounted for are:

- Length dependence of mutation rate.
- Bias towards contraction or expansion.
- Size of the mutation events.

• Microsatellites also susceptible to point mutations.

• How to deal with this?

• Microsatellites also susceptible to point mutations.

- How to deal with this?
- One way is to model point mutation as splitting a single microsatellite into two smaller ones.

• These models lose useful information, and may invalidate IID assumption.



We introduce a level-dependent QBD to model the evolution of an individual microsatellite.

3

We introduce a level-dependent QBD to model the evolution of an individual microsatellite.

• State space — $S = \{(i, j) \in \mathbb{N}^2 : i_{\min} \le i \le i_{\max}, j \le j_{\max}^i\}$

We introduce a level-dependent QBD to model the evolution of an individual microsatellite.

- State space $\mathcal{S} = \{(i, j) \in \mathbb{N}^2 : i_{\min} \leq i \leq i_{\max}, j \leq j_{\max}^i\}$
- *i* tracks the repeat number, *j* tracks the number of mismatches at the level of the nucleotide.

We introduce a level-dependent QBD to model the evolution of an individual microsatellite.

- State space $\mathcal{S} = \{(i, j) \in \mathbb{N}^2 : i_{\min} \leq i \leq i_{\max}, j \leq j_{\max}^i\}$
- *i* tracks the repeat number, *j* tracks the number of mismatches at the level of the nucleotide.
- i_{\min}, i_{\max} and j_{\max}^i are all absorbing states, although taking $i_{\max} = \infty$ is natural.

We introduce a level-dependent QBD to model the evolution of an individual microsatellite.

- State space $\mathcal{S} = \{(i, j) \in \mathbb{N}^2 : i_{\min} \leq i \leq i_{\max}, j \leq j_{\max}^i\}$
- *i* tracks the repeat number, *j* tracks the number of mismatches at the level of the nucleotide.
- i_{\min}, i_{\max} and j_{\max}^i are all absorbing states, although taking $i_{\max} = \infty$ is natural.
- Generator $\mathbf{Q} = [q_{(i,j).(k,l)}]$ with

$$q_{(i,j)(k,l)} = \begin{cases} r_{s}(i,j)\beta(i) & \text{for } k = i+1, l = j \\ r_{s}(i,j)(1-\beta(i))H(j-l,iL,j,L) & \text{for } k = i-1, j-L \le l \\ r_{m}(i,j) & \text{for } k = i, l = j+1 \\ r_{p}(i,j) & \text{for } k = i, l = j-1. \end{cases}$$
(1)

$$r_{s}(i,j) = (u_{0} + u_{1}(i-1))c^{j}, \qquad (2)$$

$$r_s(i,j) = (u_0 + u_1(i-1))c^j,$$
 (2)

$$\beta(i) = \frac{1}{1 + e^{-(b_0 + (i-1)b_1)}},\tag{3}$$

$$r_{s}(i,j) = (u_{0} + u_{1}(i-1))c^{j}, \qquad (2)$$

$$\beta(i) = \frac{1}{1 + e^{-(b_0 + (i-1)b_1)}},\tag{3}$$

$$r_m(i,j) = d(iL - j) \tag{4}$$

$$r_{s}(i,j) = (u_{0} + u_{1}(i-1))c^{j}, \qquad (2)$$

$$\beta(i) = \frac{1}{1 + e^{-(b_0 + (i-1)b_1)}},\tag{3}$$

$$r_m(i,j) = d(iL - j) \tag{4}$$

$$r_{\rho}(i,j) = \frac{1}{3}dj \tag{5}$$

Ultimately, we want to fit our model to some data from real microsatellite sequences. In order to obtain data with information about the number of interruptions in the repeat sequence, we use whole-genome data.

Ultimately, we want to fit our model to some data from real microsatellite sequences. In order to obtain data with information about the number of interruptions in the repeat sequence, we use whole-genome data. To filter microsatellites from whole genome sequences, we use a program called Tandem Repeats Finder (TRF). TRF is essentially a two component algorithm for finding microsatellite sequences.

Ultimately, we want to fit our model to some data from real microsatellite sequences. In order to obtain data with information about the number of interruptions in the repeat sequence, we use whole-genome data. To filter microsatellites from whole genome sequences, we use a program called Tandem Repeats Finder (TRF). TRF is essentially a two component algorithm for finding microsatellite sequences.

• Detection component does some statistics to find (a sample of) candidate microsatellites in the genome.

Ultimately, we want to fit our model to some data from real microsatellite sequences. In order to obtain data with information about the number of interruptions in the repeat sequence, we use whole-genome data. To filter microsatellites from whole genome sequences, we use a program called Tandem Repeats Finder (TRF). TRF is essentially a two component algorithm for finding microsatellite sequences.

- Detection component does some statistics to find (a sample of) candidate microsatellites in the genome.
- Analysis component determines (among other things) the repeat motif and measures how well the observed sequence matches a theoretical sequence of the same length consisting of perfect copies of the repeat sequence.

It is easy to derive a criteria in terms of repeat number and number of interruptions that a sequence can have to make it through the analysis component of TRF, based on chosen parameters.

It is easy to derive a criteria in terms of repeat number and number of interruptions that a sequence can have to make it through the analysis component of TRF, based on chosen parameters.

• We set the 'absorbing boundary' of the model to match the boundary of observability under TRF, so that i_{min} and j_{max}^{i} are determined by the aforementioned criteria.

It is easy to derive a criteria in terms of repeat number and number of interruptions that a sequence can have to make it through the analysis component of TRF, based on chosen parameters.

- We set the 'absorbing boundary' of the model to match the boundary of observability under TRF, so that i_{min} and j_{max}^{i} are determined by the aforementioned criteria.
- We chose *i*_{max} to be the maximum observed sequence length in each subset of our dataset (which we partitioned by motif-length).

In fact, not having to assume that observed data is at equilibrium is a nice feature of this model (the assumption of equilibrium has some philosophical issues in the context of evolution).

In fact, not having to assume that observed data is at equilibrium is a nice feature of this model (the assumption of equilibrium has some philosophical issues in the context of evolution).

The equilibrium assumption provides a natural way to extend a model for the evolution of an individual microsatellite to a model for a population of microsatellites.

In fact, not having to assume that observed data is at equilibrium is a nice feature of this model (the assumption of equilibrium has some philosophical issues in the context of evolution).

The equilibrium assumption provides a natural way to extend a model for the evolution of an individual microsatellite to a model for a population of microsatellites.

We extend the model to the population-level by assuming a Poisson birth process for microsatellites, born with some initial distribution $\underline{\alpha}$.

We derive the distribution (in terms of the individual-level model) of a microsatellite observed at a time t.

- Ignoring any imperfection in the process of observation, the event that a microsatellite is observed at time t^* is equivalent to the event that it was born before time t^* , and is absorbed after time t^* .
- It follows that the density associated with the event that a microsatellite is of age t given that it is observed at time t* is

We derive the distribution (in terms of the individual-level model) of a microsatellite observed at a time t.

- Ignoring any imperfection in the process of observation, the event that a microsatellite is observed at time t^* is equivalent to the event that it was born before time t^* , and is absorbed after time t^* .
- It follows that the density associated with the event that a microsatellite is of age t given that it is observed at time t* is

$$f_{T_0}(t^* - t \mid T_0 < t^* < T_a) = \frac{S(t)}{\int_{t=0}^{T} S(t) dt} = \frac{\underline{\alpha}_0 e^{\mathbf{Q}^* t} \underline{1}}{\underline{\alpha}_0 (e^{\mathbf{Q}^* t} - \mathbf{I}) (\mathbf{Q}^*)^{-1} \underline{1}}.$$
 (6)

where S is the survival function and \mathbf{Q}^* is the subgenerator associated with the model.

Tristan L. Stark (Temple)

February 14, 2019 17 / 22

Now we can write the probability that a microsatellite observed at time t is in state s as

$$P(X(t^{*} - T_{0}) = s \mid T_{0} < t^{*} < T_{a})$$

$$= \int_{t=0}^{t^{*}} P(X(t) = s \mid T_{0} = t^{*} - t < t^{*} < T_{a}) f_{T_{0}}(t^{*} - t \mid T_{0} < t^{*} < T_{a}) dt$$

$$= \int_{t=0}^{t^{*}} \left(\frac{[\underline{\alpha}_{0}e^{\mathbf{Q}^{*}t}]_{s}}{\underline{\alpha}_{0}e^{\mathbf{Q}^{*}t}\underline{1}} \right) \left(\frac{\underline{\alpha}_{0}e^{\mathbf{Q}^{*}t}\underline{1}}{\underline{\alpha}_{0}(e^{\mathbf{Q}^{*}t^{*}} - \mathbf{I})(\mathbf{Q}^{*})^{-1}\underline{1}} \right) dt$$

$$= \frac{[\underline{\alpha}_{0}(e^{\mathbf{Q}^{*}t^{*}} - \mathbf{I})(\mathbf{Q}^{*})^{-1}]_{s}}{\underline{\alpha}_{0}(e^{\mathbf{Q}^{*}t^{*}} - \mathbf{I})(\mathbf{Q}^{*})^{-1}\underline{1}}.$$
(7)

Now we can write the probability that a microsatellite observed at time t is in state s as

$$P(X(t^{*} - T_{0}) = s \mid T_{0} < t^{*} < T_{a})$$

$$= \int_{t=0}^{t^{*}} P(X(t) = s \mid T_{0} = t^{*} - t < t^{*} < T_{a}) f_{T_{0}}(t^{*} - t \mid T_{0} < t^{*} < T_{a}) dt$$

$$= \int_{t=0}^{t^{*}} \left(\frac{[\underline{\alpha}_{0}e^{\mathbf{Q}^{*}t}]_{s}}{\underline{\alpha}_{0}e^{\mathbf{Q}^{*}t}\underline{1}} \right) \left(\frac{\underline{\alpha}_{0}e^{\mathbf{Q}^{*}t}\underline{1}}{\underline{\alpha}_{0}(e^{\mathbf{Q}^{*}t^{*}} - \mathbf{I})(\mathbf{Q}^{*})^{-1}\underline{1}} \right) dt$$

$$= \frac{[\underline{\alpha}_{0}(e^{\mathbf{Q}^{*}t^{*}} - \mathbf{I})(\mathbf{Q}^{*})^{-1}]_{s}}{\underline{\alpha}_{0}(e^{\mathbf{Q}^{*}t^{*}} - \mathbf{I})(\mathbf{Q}^{*})^{-1}\underline{1}}.$$
(7)

which we write in vector form as

$$\underline{\pi}^*(t^*) = \frac{\underline{\alpha}_0(e^{\mathbf{Q}^*t^*} - \mathbf{I})(\mathbf{Q}^*)^{-1}}{\underline{\alpha}_0(e^{\mathbf{Q}^*t^*} - \mathbf{I})(\mathbf{Q}^*)^{-1}\underline{1}},$$
(8)

Thus, we can fit $\underline{\pi}^*(1)$ to the data, which naturally defines a molecular clock via the mutation-rate parameters of the model.

Thus, we can fit $\underline{\pi}^*(1)$ to the data, which naturally defines a molecular clock via the mutation-rate parameters of the model.

Notice that as $t^* o \infty \, \underline{\pi}^*(t)$ tends to the ratio of means distribution,

$$\lim_{t^* \to \infty} \underline{\pi}^*(t^*) = \frac{\underline{\alpha}_0(\mathbf{Q}^*)^{-1}}{\underline{\alpha}_0(\mathbf{Q}^*)^{-1}\underline{1}}.$$
(9)

Thus, we can fit $\underline{\pi}^*(1)$ to the data, which naturally defines a molecular clock via the mutation-rate parameters of the model.

Notice that as $t^* o \infty \, \underline{\pi}^*(t)$ tends to the ratio of means distribution,

$$\lim_{t^* \to \infty} \underline{\pi}^*(t^*) = \frac{\underline{\alpha}_0(\mathbf{Q}^*)^{-1}}{\underline{\alpha}_0(\mathbf{Q}^*)^{-1}\underline{1}}.$$
(9)

This provides a way to test the validity of the assumption of independence — if the fitted $\underline{\pi}^*(1) \approx \lim_{t^* \to \infty} \underline{\pi}^*(t^*)$, then we can conclude that the empirical distribution is at or near equilibrium.

• identify the extent of the slowdown in slipped-strand mispairing due to interruptions in the repeat sequence

- identify the extent of the slowdown in slipped-strand mispairing due to interruptions in the repeat sequence
- test the support for the commonly used dynamic bias function

- identify the extent of the slowdown in slipped-strand mispairing due to interruptions in the repeat sequence
- test the support for the commonly used dynamic bias function
- test the veracity of the assumption of equilibrium in empirical microsatellite distributions

- identify the extent of the slowdown in slipped-strand mispairing due to interruptions in the repeat sequence
- test the support for the commonly used dynamic bias function
- test the veracity of the assumption of equilibrium in empirical microsatellite distributions

However, there is one major problem with this model — we require data from bona-fide microsatellite sequences which includes information about interruptions.

- identify the extent of the slowdown in slipped-strand mispairing due to interruptions in the repeat sequence
- test the support for the commonly used dynamic bias function
- test the veracity of the assumption of equilibrium in empirical microsatellite distributions

However, there is one major problem with this model — we require data from bona-fide microsatellite sequences which includes information about interruptions.

In theory, TRF (or similar software) applied to whole-genome data should provide this. In practice, our data appears to be polluted with non-microsatellite sequences.

Fundamentally, the problem is that repetitive structure is not enough for a sequence to be considered a microsatellite. It must also exhibit 'characteristic microsatellite behaviour' — i.e. it should undergo high rates of slipped-strand mispairing.

Fundamentally, the problem is that repetitive structure is not enough for a sequence to be considered a microsatellite. It must also exhibit 'characteristic microsatellite behaviour' — i.e. it should undergo high rates of slipped-strand mispairing.

This is particularly problematic when considering sequences which are slowed down due to the introduction of interruptions to the repeat sequence.

Fundamentally, the problem is that repetitive structure is not enough for a sequence to be considered a microsatellite. It must also exhibit 'characteristic microsatellite behaviour' — i.e. it should undergo high rates of slipped-strand mispairing.

This is particularly problematic when considering sequences which are slowed down due to the introduction of interruptions to the repeat sequence.

We not only need to separate repetitive non-microsatellite sequences from proper microsatellites, but we also need to identify 'ex-microsatellites' — repetitive sequences which were evolving rapidly due to slipped-strand mispairing before becoming highly interrupted.

Supervisors

- Dr Małgorzata O'Reilly
- Dr Barbara Holland

• Dr Bennet McComish